

INTELLECTUAL PROPERTY LAW DEPARTMENT
Thomas J. Watson Research Center
Route 134/Kitchawan Road, P.O. Box 218
Yorktown Heights, New York 10598
Fax: (914) 945-3281 - T/L 862-3281

**IBM Corporation****FACSIMILE**DATE: Monday, April 08, 2002NO. OF PAGES TO FOLLOW: 42

In re application of: K. Matsumoto et al.

Serial No.: 09/996,836

Filed: November 29, 2001

TO: Commissioner for Patents

NAME: USPTO Box Duplicate (OIPE)

ADDRESS: _____

PHONE NO. _____

FAX NO. (703) 746-4060

FROM:

NAME: Robert M. TreppPHONE NO. (914) 945-3147LOCATION: YorktownCONTACT #: Michelle Parra (914) 945-3209

CLASSIFICATION:

☐ IBM CONFIDENTIAL☐ IBM INTERNAL USE ONLY☐ PERSONAL☒ UNCLASSIFIED

MESSAGE: _____

THIS MESSAGE IS INTENDED ONLY FOR THE USE OF THE INDIVIDUAL OR ENTITY TO WHICH IT IS ADDRESSED, AND MAY CONTAIN INFORMATION THAT IS PRIVILEGED, CONFIDENTIAL AND EXEMPT FROM DISCLOSURE UNDER APPLICABLE LAW. IF THE READER OF THIS MESSAGE IS NOT THE INTENDED RECIPIENT, OR THE EMPLOYEE OR AGENT RESPONSIBLE FOR DELIVERING THE MESSAGE TO THE INTENDED RECIPIENT, YOU ARE HEREBY NOTIFIED THAT ANY DISSEMINATION, DISTRIBUTION OR COPYING OF THIS COMMUNICATION IS STRICTLY PROHIBITED. IF YOU HAVE RECEIVED THIS COMMUNICATION IN ERROR, PLEASE NOTIFY US IMMEDIATELY BY TELEPHONE AND RETURN THE ORIGINAL MESSAGE TO US AT THE ADDRESS ABOVE VIA THE U.S. POSTAL SERVICE. THANK YOU.

PATENT**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Patent Application of: Date: April 8, 2002

K. Matsumoto et al.

: Group Art Unit: Unknown

Serial No.: 09/996,836

: Examiner: Unknown

Confirmation No. 2578

:

Filed: November 29, 2001

: Docket No.: YOR920000819US2

For: THREE LEVEL STACKED REFLECTIVE DISPLAY


Commissioner for Patents
Washington, D.C. 20231**CERTIFICATION OF TRANSMISSION
PURSUANT TO 37 CFR 1.8**

Dear Sir:

I hereby certify that the attached correspondence comprising:

1. Response to Request for Substitute Papers with the Request for Substitute Papers;
2. Copy of Response to Notice to File Missing Parts of Nonprovisional Patent Application (Formal Papers) as filed on February 13, 2002; and
3. Copy of Response to Notice to File Missing Parts of Nonprovisional Patent Application (25 sheets of Formal Drawings)

is being facsimile transmitted as provided under Rule CFR 1.6(d) to
the U.S. Patent and Trademark Office on April 8, 2002.


Michelle Parra



PATENT**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Patent Application of : Date: April 8, 2002
:
K. Matsumoto et al. : Group Art Unit: Unknown
:
Serial No.: 09/996,836 : Examiner: Unknown
Confirmation No. 2578 :
:
Filed: November 29, 2001 : Docket No.: YOR920000819US2



For: THREE LEVEL STACKED REFLECTIVE DISPLAY

Response to Request for Substitute Papers

Commissioner for Patents
Washington, D.C. 20231

Sir:

In response to the Request for Substitute Papers mailed March 20, 2002 under a Certificate of Mailing, there were two mailings on February 13, 2002 under two respective Certificates of Mailing. Enclosed is a copy of the two mailings except for the declaration which is missing from the file and can not be located. A copy of the request is attached.

I note that IBM did not have access to the file at the USPTO because it was not with the examiner under a Power to Inspect so I could not get a copy of the declaration.

Respectfully submitted,
Attorney for the Applicant(s)

by Robert M. Trepp
Robert M. Trepp
Registration No. 25,933

IBM Corporation
Intellectual Property Law Department
P. O. Box 218
Yorktown Heights, N. Y. 10598
Telephone No.: (914) 945-3147
Fax No.: (914) 945-3281

Docket No.: YOR920000819US2

Serial No.: 09/996,836

A
3**PATENT****IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Application of: : Date: February 13, 2002
: :
K. Matsumoto et al. : :
: :
Serial No.: 09/996,836 : Group Art Unit:
Confirmation No.: 2578 : Examiner: Not yet assigned
: :
Filed: November 29, 2001 : Docket No.: YOR920000189US2
For: THREE LEVEL STACKED
REFLECTIVE DISPLAY

Assistant Commissioner for Patents
Initial Patent Examination Division
Washington, D.C. 20231

**Response to Notice to File Corrected Application Papers**

Sir:

In response to the Notice to File Corrected Application Papers, mailed December 14, 2001, for which the time to respond expires on February 14, 2002, enclosed herewith is a substitute set of twenty five (25) formal drawings for the above-identified application.

A copy of the "Notice to File Corrected Application Papers -Filing Date Granted" is attached as per your instructions.

Respectfully submitted,
Attorney for the Applicant(s)

by 
Robert M. Trepp
Registration No. 25,933

IBM Corporation
Intellectual Property Law Department
P. O. Box 218
Yorktown Heights, N. Y. 10598
Telephone No.: (914) 945-3147
Fax No.: (914) 945-3281



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/996,836	11/29/2001	Keiji Matsumoto	YOR92(X)00819US2

RMT
IBM CORPORATION
INTELLECTUAL PROPERTY LAW DEPT.
P.O. BOX 218
YORKTOWN HEIGHTS, NY 10598



CONFIRMATION NO. 2578

FORMALITIES LETTER

1120000000007198015
OC000000007198015

Date Mailed: 12/14/2001

Due 2/14/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION;

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);
 - Drawings must be reasonably free from erasures and must be free from alterations, overwritings, interlineations, folds, and copy marks.
 - more than one figure is present and each figure is not labeled "Fig." with a consecutive Arabic numeral (1, 2, etc.) or an Arabic numeral and capital letter in the English alphabet (A, B, etc.) (see 37 CFR 1.84(u)(1));

A copy of this notice MUST be returned with the reply.

APR 08 '02 15:56 FR

TO 917037464060

P.43

Page 2 of 2

MTS

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY

20040408 03:28:50 PM